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OTO6 Pac'd PCT/PTO S DOCKET NUMBER ATTOR (1390 REV. 5-93) US DEPT. OF COMMÈRCE PATENT & TRADEMARK OFFICE 112387 TRANSMITTAL LETTER TO THE U.S. APPLICATION NO. (if known, sec 37 C.F.R.1.5) UNITED STATES 10/089,835 DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/JP00/06979 October 6, 2000 October 7, 1999 TITLE OF INVENTION A METHOD OF TESTING FOR HEPATIC CIRRHOSIS USING A BREATH ANALYSIS APPARATUS AND THE APPARATUS APPLICANT(S) FOR DO/EO/US Kouichi ISHIKAWA et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371. 3. This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1). 4. A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date. 5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. is transmitted herewith (required only if not transmitted by the International Bureau). has been transmitted by the International Bureau. c. is not required, as the application was filed in the United States Receiving Office (RO/US) 6. A translation of the International Application into English (35 U.S.C. 371(c)(2)). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) 7. are transmitted herewith (required only if not transmitted by the International Bureau). have been transmitted by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. 8. A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). Items 11. to 16. below concern other document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. A FIRST preliminary amendment. A SECOND or SUBSEQUENT preliminary amendment.

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A substitute specification.

Entitlement to small entity status is hereby asserted.

Other items or information: Response to Notification of Defective Response

U.S. APPLICATION NO. C.F.R. 1.5) 10/089,835	INTERNATIONAL APPLICATION PCT/JP00/06979		ON NO.	ATTORNEY'S DOCKET NUMBER 112387		
17.  The following fees are submitted:			CALCU	JLATIONS	PTO USE ONLY	
Basic National fee (37 CFR 1.492(a)(1)-(5)):						
Search Report has been prepared by the EPO or JPO\$890.00						
International preliminary examination fee paid to USPTO (37 CFR1.482)\$710.00						
No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445(a)(2))\$740.00						
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO\$1,040.00						
International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4)\$ 100.00						
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$		
Surcharge of \$130.00 for furnishing the oath or declaration later than 20 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$		
Claims	Number Filed	Number Extra	Rate			
Total Claims	- 20 =		X \$ 18.00	\$		
Independent Claims	- 3 =		X \$ 84.00	\$		
Multiple dependent claim(s)(if applicable)			+ \$280.00	\$		
TOTAL OF ABOVE CALCULATIONS =				\$		
Reduction by 1/2 for filing by small entity, if applicable.				\$		
SUBTOTAL =				\$		
Processing fee of \$130.00 for furnishing the English translation later than   20 30 month from the earliest claimed priority date (37 CFR 1.492(f)).				\$		
TOTAL NATIONAL FEE =						
					Amount to be refunded	\$
					Charged	\$
<ul> <li>a.  Check No in the amount of \$ to cover the above fees is enclosed.</li> <li>b. Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.</li> <li>c.  The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Deposit Account No. 15-0461. A duplicate copy of this sheet is enclosed.</li> </ul>						
NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.						
SEND ALL CORRESPONDENCE TO:  OLIFF & BERRIDGE, PLC P.O. Box 19928 Alexandria, Virginia 22320  NAME REGI					A. OHIP ON NUMBER: 2	 27,075
Date: October 28, 2002 NA				AME Joel S	$\circ$	



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Kouichi ISHIKAWA et al.

Attn: PCT Branch

Application No.: 10/089,835

Docket No.: 112387

Filed:

August 26, 2002

For:

A METHOD OF TESTING FOR HEPATIC CIRRHOSIS USING A BREATH

ANALYSIS APPARATUS AND THE APPARATUS

RESPONSE TO NOTIFICATION OF DEFECTIVE RESPONSE <u>UNDER 35 U.S.C 371 IN THE UNITED STATES DESIGNATED/</u> ELECTED OFFICE (DO/EO/US) WITH COMPLETE DECLARATION

Director of the U.S. Patent and Trademark Office Washington, D.C. 20231

Sir:

In response to the Notification of Defective Response Under 35 U.S.C 371 in the United States Designated/Elected Office (DO/EO/US) (copy attached) mailed on October 21, 2002, submitted herewith is the complete executed Declaration of the inventors. Any specification attached to and referenced in the Declaration is a copy of the specification and any amendments thereto which were filed in the Office in order to obtain a filing date for the application.

Entry of these documents should complete all of the filing formalities and fully satisfy all requirements of the Notification of Missing Requirements. Accordingly, prompt issuance of a Notification of Acceptance and Official Filing Receipt, and prompt examination and allowance of this application are respectfully solicited.

The Director is hereby authorized to charge any additional fee (or credit any overpayment) associated with this communication to Deposit Account No. 15-0461. Two duplicate copies of this paper are attached.

Respectfully submitted,

Registration No. 27,0

Joel S. Armstrong Registration No. 36,430

JAO:JSA/mlb

Date: October 28, 2002

OLIFF & BERRIDGE, PLC P.O. Box 19928 Alexandria, Virginia 22320 Telephone: (703) 836-6400

DEPOSIT ACCOUNT USE **AUTHORIZATION** Please grant any extension necessary for entry; Charge any fee due to our Deposit Account No. 15-0461